Drug and Alcohol Review

MTAP Winter Meeting 2018

Don Chartock, Grants and Community Partnerships Manager

Roger Millar, Secretary of Transportation
Keith Metcalf, Deputy Secretary of Transportation
2018 FTA Review

- FTA selected nine of WSDOT’s grantees
  - Seven transit agencies,
  - One tribal transit, and
  - One for-profit, third party contractor (for ICB)
- March 8\(^{th}\) 2018 and follow-up submitted July 26\(^{th}\), 2018
  - Notice of audit and grantees documentation request - March 8
  - Grantees documentation submittal – March 30
  - Entrance conference – April 23
  - Site visits – April 23 to April 26
  - Exit conference – April 27
  - Response to findings – July 26
FTA Audit Areas

- Policy manual review
  - Many are too stringent
- Drug and alcohol program manager
- Records management
- Contractors
  - Breath alcohol technician
  - Saliva test technician
  - Urine collections
  - Medical review officer
  - Substance abuse professional
Common Deficiency Findings - Overall

Number of Findings by Review Area

- Policy Manual Review Interview: 31
- Drug and Alcohol Program Manager Interview: 48
- Records Management Interview: 54
- Urine Collections Interview: 29
- Saliva Test Technician Interview: 1
- Breath Alcohol Technician Interview: 23
- Substance Abuse Professional Interview: 0
- Medical Review Officer Interview: 6
Common Deficiency Findings – Another Look

Number of Findings by Review Area

- Policy Manual Review Interview: 31
- Drug and Alcohol Program Manager Interview: 48
- Records Management Interview: 54
- Contractors: 59
Common Deficiency Findings - Contractor Details

Findings By Contractor Type

- Urine Collections Interview: 29
- Saliva Test Technician Interview: 1
- Breath Alcohol Technician Interview: 23
- Substance Abuse Professional Interview: 0
- Medical Review Officer Interview: 6
Finding Resolution Requirements

Follow-up Action Required

- Affirmation Statement: 47
- Form Revision: 33
- Process Improvement: 7
- Random Test Log: 8
- Submit 3rd Party Contacts: 5
- Document 3rd Party Understanding: 41
- Document 3rd Party Procedures: 10
- Training Documentation: 11
- Other: 1
WSDOT Program Administration – Going Forward

• Assessment of all grantee policies for new requirements
• Prioritize annual compliance site visits.
  – Third party contractors
• Provide enhanced technical assistance
• Evaluate who gets federal funding
• Increase/enhance training opportunities
• More than doubled the staff (Thanks to OR and MS)
  – Created Redundancy with two PMs (0.5 Each)
  – Created new Compliance Officer for all Audits
  – Weekly check-in with Managers
Resources & Technical Support

- FTA Drug and Alcohol Program

- WSDOT Drug and Alcohol webpage

- WSDOT – PTD technical assistance support
  - Barb Savary (Western Washington)
    [savaryb@wsdot.wa.gov](mailto:savaryb@wsdot.wa.gov)
    360-705-7914
  - Hiep Tran (Eastern Washington + Intercity Bus)
    [tranh@wsdot.wa.gov](mailto:tranh@wsdot.wa.gov)
    360-705-7806